

HIGH IMPACT Client-Driven Investment Litigation



HIGH IMPACT

Resource-Rich Approach to Developing Theories of Liability

Maximum Investor Recoveries Realized with Aggressive, Tactical Prosecution

Superior Results Inform the Market that Corporate Misconduct Will Be Punished

CLIENT DRIVEN

Case Evaluation and Recommendations Are Tailored to Our Clients' Shared Values

Highly Attuned to Reputational Risks Facing High-Profile Public Investors

Long-Term Business Model Ensures Candid Counsel About Potential & Ongoing Litigation

MEET OUR PARTNERS



JAVIER BLEICHMAR, recommended as a securities litigator by Legal 500, has secured resounding victories for our clients on matters at the heart of the financial crisis, including \$234 million in class settlements in the MF Global securities litigation. He was the lead prosecutor in the securities fraud class action against Weatherford International which recovered \$120 million for investors.



JOSEPH A. FONTI, has achieved marked success as a trial attorney and appellate advocate, including key victories in the U.S. Courts of Appeals for the Second and Ninth Circuits. He was recently lead prosecutor in the case against Genworth Financial in the notoriously fast-paced Eastern District of Virginia, recovering a jurisdiction-record settlement of \$219 million for investors.



DOMINIC J. AULD, recognized by his peers as a "Super Lawyer" and recommended as a securities litigator by Legal 500, is a frequent industry speaker and sought-after commentator on the global investment industry. He leads the Firm's International Litigation Practice, counseling clients on their rights and recovery options in matters around the world.



LESLEY WEAVER, has served in leadership of some of the nation's most significant class actions, including the Volkswagen emissions case, which recovered more than \$15 billion for consumers and the environment, and the case against Facebook arising out of the Cambridge Analytica scandal. She is the partner in charge of the firm's California office and the head of the antitrust and consumer practice group.



PETER BORKON, has worked with institutional investors for more than 20 years as an advocate and educator in securities litigation and ESG strategy and implementation. He regularly counsels clients on the intersection of ESG stewardship and litigation, and has served as lead prosecutor in numerous high-profile securities cases that recovered hundreds of millions of dollars for investors.



NANCY A. KULESA, has extensive experience representing public pension funds, Taft-Hartley funds, and investors in securities litigation. She has represented investors in high-profile cases against some of the world's leading corporations and has recovered hundreds of millions of dollars for shareholders.



ERIN H. WOODS, brings a unique blend of seasoned securities litigator working with BFA's founding partners, a decade of representing institutional investors, and expertise from her time at an industryleading claims administration firm. Erin's practice combines her vast experience advising institutional investors on current and potential securities litigation and assisting clients in recovering investment losses through filing settlement claims. BFA represents some of the world's largest and most sophisticated institutional investors and asset managers. Our clients, who command the respect of the court system and the attention of public companies, pursue litigation to ensure the accountability and integrity of the marketplace.

OUR

"BFA's lawyers share our views on what constitutes financial fraud and when it warrants assertive investor action. BFA brings a uniquely compelling business model and a powerful client-alignment approach to securities class action - and they achieve results. Their advice is consistently pragmatic and reliable." Jeff Davis.

General Counsel, Ontario Teachers' Pension Plan

BUSINESS MODEL

BFA is built to reflect our values, and yours.

We have a unique business model among our peers, designed to focus on the select number of major cases that matter to our clients.

We work on contingency. Our fees are based on meaningful litigation benchmarks, with a serious trial-focused strategy.

Retention of top talent maximizes our effectiveness.

Office Locations: New York City Westchester California Toronto



We believe that the aggressive prosecution of fraud, to obtain the highest possible recovery, is the best way to align our interests and reputation with our clients.

Our opponents know we are trial-ready and prosecute cases to the fullest extent. We don't leave money on the table in our negotiations.

Sophisticated litigation adds value for our cases and our clients - and ensures that those who defraud the market are truly held accountable.

COMPROMISES

BFA FIRM

We do not donate directly or indirectly to political campaigns.

We only want to be hired on our merits, and we are only accountable to our clients.

PROVEN

RECENT RESULTS

MF Global Holdings Ltd. Securities Litigation

On behalf of Alberta Investment Management Corp., secured \$234 million in settlements for the class, a historic recovery for a fraud involving a bankrupt entity.

Genworth Financial Inc. Securities Litigation

Secured a jurisdiction-record settlement of \$219 million for investors in the notoriously fast paced "Rocket Docket" in the Eastern District of Virginia.

Freedman v. Weatherford International Ltd., et al.

Secured a \$120 million settlement in a complex tax accounting case, based on an aggressive strategy that merged in-depth negotiations with substantial motion practice, for which the Court said BFA should be "commended."

International Litigation Counsel

Actively advising global investors on highprofile securities fraud litigation brought outside the US.

Appellate Victories

Track record of significant investor protection decisions in the U. S. Courts of Appeal for the First, Second, Eighth and Ninth Circuits.



HIGH IMPACT CLIENT DRIVEN

BFA is honored to represent investors as we work together to hold public companies accountable when they mislead the market.



7 Times Square, 27th Floor, New York, NY 10036 212-789-1340 • www.bfalaw.com

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